

BEHAVIORAL HEALTH SCREENING TOOLS

FOR THE CO-LOCATION OF BEHAVIORAL HEALTH SERVICES IN A PRIMARY CARE SETTING

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Introduction

Today's Presenter



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General partner questions and comments will be addressed today via the chat function.

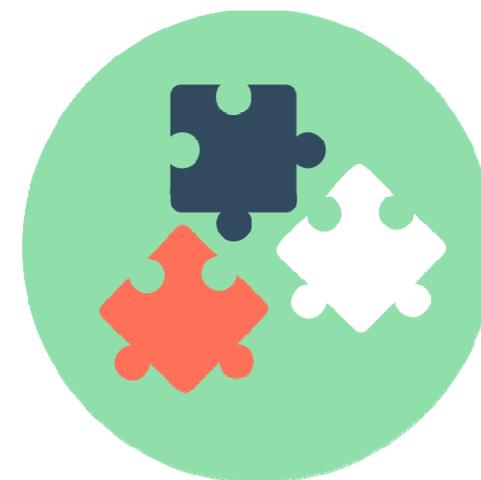
Agenda

1. Introduction
2. Measurement informed care
3. Screening tools
 - Depression
 - Anxiety
 - Alcohol and Substance use
 - SBIRT
 - Co-jointed screening: CAGE-AID

What is co-location?

Co-location of behavioral health in primary care settings

- Co-location of mental health and/or substance use services
- Target population: Patients with more complex and stable behavioral health problems (e.g. schizophrenia, bipolar disorder, severe depression, psychoses) that can be appropriately managed in a primary care setting
- Behavioral health services can be provided by a co-located psychiatrist or psychiatric nurse practitioner, preferably supported by psychologist or social worker



What is co-location?

Co-location of primary care in behavioral health settings

- Target population: behavioral health patients with difficulty navigating routine primary care services
- Primary care services can be provided by any independently licensed provider (MD, DO, NP)
- Primary care services will include functions including:
 - Standard preventive care services
 - Screening and medical management issues specific to behavioral health population
 - Population health management for common chronic conditions
 - Collaboration with care management services



Measurement Informed Care

- 1 Standardizes response to treatment or any intervention
- 2 Identifies and targets the symptoms not improving
- 3 Monitors treatment progress
- 4 Guides stepped care
- 5 Improves care quality and clinical outcomes
- 6 Assists with patient self-management
- 7 Aligns with health care and payment reform initiatives

Measurement-based treatment to target for populations

Systematic screening of a target population to proactively identify patients in need of care and improvement rates

Use of a registry to track a defined population of patients with identified behavioral health needs

BEHAVIORAL HEALTH:
PHQ-9, GAD-7

SUBSTANCE ABUSE:
AUDIT / DAST-10

“vital signs” of the emotional system

Engaging Patients for Measurement Informed Care

Approaching Patients with Screening Tools

Common Patient Questions

QUESTION

Why do I need to fill this out?

ANSWER

Normalize the process as part of routine check in by saying “We give this survey to all our patients”

Approaching Patients with Screening Tools

Common Patient Questions

QUESTION

I don't have these problems. Why do you want me to fill this out?

ANSWER

Make a connection between feelings and physical health by saying
“Sometimes feeling sad or depressed can impact your physical health. As part of your overall healthcare it’s important to measure how you’re feeling regularly.”

Behavioral Health Patient Screening Questionnaires

Screening Instrument for Depression: Patient Health Questionnaire (PHQ-9)

Patient Health Questionnaire: (PHQ-9)

Screening Instrument for Depression

OVERVIEW

- The PHQ-9 is a multipurpose instrument for screening, diagnosing, monitoring and measuring the severity of depression
- Incorporates DSM-V depression diagnostic criteria with other leading major depressive symptoms into a brief self-report tool
- Rates the frequency of the symptoms which factors into the screening severity index
- Question 9 screens for the presence and duration of suicide ideation



Patient Health Questionnaire: (PHQ-9)

Screening Instrument for Depression

CLINICAL UTILITY

- The PHQ-9 is brief and useful in clinical practice
- Is completed by the patient in minutes and it is rapidly scored by the clinician (health provider)
- Can be administered repeatedly to assess improvement or worsening of depression in response to treatment



The Patient Health Questionnaire (PHQ-9)

	NOT AT ALL	SEVERAL DAYS	MORE THAN HALF THE DAY	NEARLY EVERY DAY
1. Little interest or pleasure in doing things	0	1	2	X
2. Feeling down, depressed or hopeless	0	X	2	3
3. Trouble falling or staying asleep, or sleeping too much	0	1	X	3
4. Feeling tired or having little energy	0	1	2	X
5. Poor appetite or overeating	0	X	2	3
6. Feeling bad about yourself-or that you are a failure or have let yourself or your family down	0	1	X	3
7. Trouble concentrating on things, such as reading the newspaper or watching television	0	1	2	X
8. Moving or speaking so slowly that other people could have noticed. On the opposite-being so fidgety or restless that you have been moving around a lot more than usual	X	1	2	3
9. Thoughts that you would be better off dead, or of hurting yourself	0	X	2	3

Add columns

3

+

4

+

9

TOTAL 16

Measurement Informed Care (PHQ-9): Treatment Recommendations

PHQ-9 SCORE	Provisional Diagnosis	Treatment Recommendation <i>Patient Preferences should be considered</i>
5-9	Minimal Symptoms	Support, educate to call if worse, Return in 1 month & repeat PHQ-9
10-14	Moderate Depression Major Depression, <i>mild</i>	Antidepressant and/or psychotherapy Antidepressant and/or psychotherapy
15-19	Major Depression, <i>moderately severe</i>	Antidepressant and/or psychotherapy
> 20	Major Depression, <i>severe</i>	Antidepressant and psychotherapy <i>(specially if not improved on monotherapy)</i>

1. Kroenke et al. The PHQ-9. Validity of a brief depression severity measure. JGIM, 2001. 16,606-616.2. <http://www.agencymeddirectors.wa.gov/files/AssessmentTools/14-PHQ-9%20overview.pdf>

Behavioral Health Patient Screening Questionnaires

Generalized Anxiety Disorder: Assessment (GAD-7)

Generalized Anxiety Disorder Assessment (GAD-7)

The GAD-7 originates from Spitzer RL, et al; A brief measure for assessing generalized anxiety disorder. 2006

This easy-to-use self-administered patient questionnaire is used as a **screening tool** and **severity measure**

1. The GAD-7 score is calculated by assigning scores of **0, 1, 2, and 3**
2. The response categories of '**not at all**', '**several days**', '**more than half the days**', and '**nearly every day**'
3. Adding together the scores for the seven questions



Generalized Anxiety Disorder Assessment (GAD-7)

GAD-7

Over the last 2 weeks, how often have you been bothered by the following problems?	Not at all	Several days	More than half the days	Nearly every day
1. Feeling nervous, anxious or on edge	0	1	X	3
2. Not being able to stop or control worrying	0	X	2	3
3. Worrying too much about different things	0	X	2	3
4. Trouble relaxing	0	1	X	3
5. Being so restless that it is hard to sit still	X	1	2	3
6. Becoming easily annoyed or irritable	X	1	2	3
7. Feeling afraid as if something awful might happen	0	1	X	3

Total Score **8** = Add Columns **2** + **6** + **0**

If you checked off any problems, how difficult have these problems made it for you to do your work, take care of things at home, or get along with other people?

Not difficult at all <input type="checkbox"/>	Somewhat difficult <input checked="" type="checkbox"/>	Very difficult <input type="checkbox"/>	Extremely difficult <input type="checkbox"/>
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SCORES:

5-9

Mild Anxiety

10-15

Moderate Anxiety

>15

Severe Anxiety

When used as a screening tool, further evaluation is recommended when the score is **10** or greater
Do not forget to rule out medical causes of anxiety before diagnosing an anxiety disorder (for example, EKG for arrhythmias, TSH for thyroid disease).

Generalized Anxiety Disorder Assessment (GAD-7)

Using the threshold score of 10

For Generalized Anxiety Disorder

89% sensitivity

82% specificity

It is moderately good at screening three other common anxiety:

For Panic Disorder

74% sensitivity

81% specificity

For Post-traumatic Stress Disorder

66% sensitivity

81% specificity

For Social Anxiety Disorder

72% sensitivity

80% specificity

Measurement Informed Care (PHQ-9 / GAD-7): Adjusting Treatment Plans

*A stepped care algorithm for treatment plans can be developed and adjusted for your clinic’s needs

PHQ-9 / GAD-7	Treatment Response	Treatment Plan
Drop of 5 points from baseline & < 10 <i>(or 50% reduction from baseline)</i>	ADEQUATE	No treatment change needed Follow-up in 4 weeks
Drop of 2-4 points from baseline	POSSIBLY INADEQUATE	May warrant an increase in antidepressant dose
Drop of 1 point, no change, or increase	INADEQUATE	Increase medication dose; Augmentation; Informal or formal psychiatric evaluation; Add or adjust psychotherapy

Substance Abuse Patient Pre-Screening & Screening Questionnaires

Screening, Brief Intervention and Referral to Treatment (SBIRT)

Components

- **Universal Annual Screening (S)** identifies unhealthy use.
ASK AND ASSESS
75-85% of patients will screen negative.
- **Brief Intervention (BI)** provides feedback about unhealthy substance use. It also focuses on education, increasing patient insight and awareness about risks related to unhealthy substance use, and enhances motivation toward healthy behavioral change.
- **Referral to Treatment (RT)** helps facilitate access to addiction assessment and treatment. A referral is usually indicated for only about 5% of people screened.

Substance Abuse Patient Pre-Screening & Screening Questionnaires

Screening, Brief Intervention and Referral to Treatment (SBIRT)

Components

- **Universal Annual Screening (S)**

- Ask and Assess**

- Screening strategies:**

- ❑ **Standard Approach AUDIT/DAST:** longer, better when using an EHR requires scoring but has been more rigorously tested
 - ❑ **Quick Approach CAGE-AID:** can be completed within a few minutes.

Substance Abuse Patient Pre-Screening & Screening Questionnaires

The Alcohol Use Disorders Identification Test (AUDIT): Pre-Screening: AUDIT-C Screening: AUDIT FULL

Pre-Screening: The AUDIT-C Questionnaire

The **AUDIT-C** is a 3-item alcohol screen that can help identify people who are hazardous drinkers or have active alcohol disorders

1. How often do you have a drink containing alcohol?

- a. Never
- b. Monthly or less
- c. 2-4 times a month
- d. 2-3 a week
- e. 4 or more times a week

2. How many standard drinks containing alcohol do you have on a typical day?

- a. 1 or 2
- b. 3 or 4
- c. 5 or 6
- d. 7 or 9
- e. 10 or more

3. How often do you have six or more drinks on one occasion?

- a. Never
- b. Less than monthly
- c. Monthly
- d. Weekly
- e. Daily or almost daily

Scoring: The AUDIT-C Questionnaire

The AUDIT-C is scored
on a scale of 0-12

- a. 0 points
- b. 1 point
- c. 2 points
- d. 3 points
- e. 4 points

POSITIVE SCORE:

Men: 4 or more

Women: 3 or more

CONSIDERATIONS

When the points are all from question # 1 alone

1. It can be assumed that the patient is drinking below recommended limits
2. Review alcohol intake over the past few months to confirm

The Alcohol Use Disorders Identification Full Test (AUDIT)

AUDIT ALCOHOL SCREENING TOOL

1 unit is typically:

UNIT GUIDE

Half pint of regular beer, lager or cider; 1 small glass low ABV wine (5%); 1 single measure of spirits (25ml)

The following drinks have more than one unit:

A pint of regular beer, lager or cider; a pint of strong / premium beer, lager or cider; 400ml regular cider/lager; 400ml "super" lager; 175ml glass of wine (12%alc)



Questions	Scoring system					Your score
	0	1	2	3	4	
How often do you have a drink containing alcohol?	Never	Monthly or less	2 - 4 times per month	2 - 3 times per week	4+ times per week	
How many units of alcohol do you drink on a typical day when you are drinking?	1 - 2	3 - 4	5 - 6	7 - 9	10+	
How often have you had 6 or more units if female, or 8 or more if male, on a single occasion in the last year?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you found that you were not able to stop drinking once you had started?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you failed to do what was normally expected from you because of your drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you needed an alcoholic drink in the morning to get yourself going after a heavy drinking session?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you had a feeling of guilt or remorse after drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you been unable to remember what happened the night before because you had been drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
Have you or somebody else been injured as a result of your drinking?	No		Yes, but not in the last year		Yes, during the last year	
Has a relative or friend, doctor or other health worker been concerned about your drinking or suggested that you cut down?	No		Yes, but not in the last year		Yes, during the last year	

Scoring: 0 - 7 Lower risk, 8 - 15 Increasing risk, 16 - 19 Higher risk, 20+ Possible dependence

SCORE

Self-report version of the AUDIT

A self-report version of the AUDIT A clinician-administered version of the AUDIT

Is a 10-item screening tool developed by the World Health Organization (WHO) to assess alcohol consumption, drinking behaviors, and alcohol-related problems

Patients should be encouraged to answer the AUDIT questions in terms of standard drinks

A score of 8 or more is considered to indicate hazardous or harmful alcohol use

The AUDIT has been validated across genders and in a wide range of racial/ethnic groups and is well suited for use in Primary Care settings

The Risk Levels of Intervention: AUDIT

RISK LEVEL	INTERVENTION	AUDIT SCORE
Zone I- Healthy	Alcohol Education	0-7
Zone II - Risky	Simple Advise	8-15
Zone III - Harmful	Simple Advise plus Brief Counseling and Continued Monitoring	16-19
Zone IV- Dependent	Referral to Specialist for Diagnostic Evaluation and Treatment	20-40

Review the patient’s responses to individual questions dealing with dependence symptoms (Questions 4, 5 and 6) and alcohol-related problems (Questions 9 and 10)

Provide the next highest level of intervention to patients who score 2 or more on Questions 4, 5 and 6, or 4 on Questions 9 or 10

Substance Abuse Patient Pre-Screening & Screening Questionnaires

The Drug Abuse Screening Test (DAST):

Pre-screening: DAST-10

Screening: DAST-20

The Drug Abuse Screening Test (DAST-10)



CONSIDERATIONS

- Is a **10-item brief screening tool** that can be administered by a clinician or self-administered
- Each question requires a **yes or no** response, and the tool can be completed in less than 8 minutes
- This tool assesses drug use, not including alcohol or tobacco use, in the **past 12 months**

DAST – 10 Questionnaire

I'm going to read you a list of questions concerning information about your potential involvement with drugs, excluding alcohol and tobacco, during the past 12 months.

When the words “drug abuse” are used, they mean the use of prescribed or over-the-counter medications/drugs in excess of the directions and any non-medical use of drugs. The various classes of drugs may include: cannabis (e.g., marijuana, hash), solvents, tranquilizers (e.g., Valium), barbiturates, cocaine, stimulants (e.g., speed), hallucinogens (e.g., LSD) or narcotics (e.g., heroin). Remember that the questions do not include alcohol or tobacco.

If you have difficulty with a statement, then choose the response that is mostly right. You may choose to answer or not answer any of the questions in this section.

These questions refer to the past 12 months	NO	YES
1. Have you used drugs other than those required for medical reasons?	0	1
2. Do you abuse more than one drug at a time?	0	1
3. Are you always able to stop using drugs when you want to? (If never use drugs, answer “Yes.”)	1	0
4. Have you had "blackouts" or "flashbacks" as a result of drug use?	0	1
5. Do you ever feel bad or guilty about your drug use? If never use drugs, choose “No.”	0	1
6. Does your spouse (or parents) ever complain about your involvement with drugs?	0	1
7. Have you neglected your family because of your use of drugs?	0	1
8. Have you engaged in illegal activities in order to obtain drugs?	0	1
9. Have you ever experienced withdrawal symptoms (felt sick) when you stopped taking drugs?	0	1
10. Have you had medical problems as a result of your drug use (e.g., memory loss, hepatitis, convulsions, bleeding, etc.)?	0	1

The Risk Levels of Intervention: DAST-10

RISK LEVEL	INTERVENTION	DAST SCORE
Zone I- Healthy	None	0
Zone II - Risky	<ul style="list-style-type: none"> Offer advise on benefits and importance of remaining drug abstinent. Monitor and reassess next visit Consider providing educational materials 	1-2
Zone III - Harmful	<ul style="list-style-type: none"> Brief intervention Brief treatment 	3-5
Zone IV- Dependent	Referral to Specialized treatment	6 +

Brief intervention: Patient-centered discussion that employs Motivational Interviewing concepts to raise an individual's awareness of his/her substance use and enhancing his/her motivation towards behavioral change. Brief interventions are typically performed in 3-15 minutes, and should occur in the same session as screening. The recommended behavior change is to abstain from illicit drug use.

Referral to specialized treatment: A proactive process that facilitates access to specialized care for individuals who have been assessed to have substance use dependence. These patients are referred to drug treatment experts for more definitive, in-depth assessment and, if warranted, treatment. The recommended behavior change is to abstain from use and accept the referral.

Substance Abuse Patient Pre-Screening & Screening Questionnaires

Screening, Brief Intervention and Referral to Treatment (SBIRT)

Components

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ASK AND ASSESS
75-85% of patients will screen negative.
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- **Referral to Treatment (RT)** helps facilitate access to addiction assessment and treatment. A referral is usually indicated for only about 5% of people screened.

Substance Abuse Patient Pre-Screening & Screening Questionnaires

Step I. **ASK** about alcohol and drug use

Alcohol Use

- Do you sometimes drink beer, wine or other alcoholic beverages?

If negative, reinforce their healthy decisions

If positive, Your patient has at least RISKY drug use.

Proceed to AUDIT C.

- How often do you have a drink containing alcohol?
- How many standard drinks containing alcohol do you have on a typical day?
- How often do you have six or more drinks on one occasion?

POSITIVE SCORE:

Men: 4 or more

Women: 3 or more

***If positive, go to STEP 2A –
ASSESS with Full AUDIT***

The Alcohol Use Disorders Identification Full Test (AUDIT)

AUDIT ALCOHOL SCREENING TOOL

1 unit is typically:

Half pint of regular beer, lager or cider; 1 small glass of low ABV wine (9%); 1 single measure of spirits (25ml)

The following drinks have more than one unit:

A pint of regular beer, lager or cider; a pint of strong / premium beer, lager or cider; 400ml regular cider/lager; 400ml "super" lager; 175ml glass of wine (12% alc)



Questions	Scoring system					Your score
	0	1	2	3	4	
How often do you have a drink containing alcohol?	Never	Monthly or less	2 - 4 times per month	2 - 3 times per week	4+ times per week	
How many units of alcohol do you drink on a typical day when you are drinking?	1 - 2	3 - 4	5 - 6	7 - 9	10+	
How often have you had 6 or more units if female, or 8 or more if male, on a single occasion in the last year?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you found that you were not able to stop drinking once you had started?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you failed to do what was normally expected from you because of your drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you needed an alcoholic drink in the morning to get yourself going after a heavy drinking session?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you had a feeling of guilt or remorse after drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
How often during the last year have you been unable to remember what happened the night before because you had been drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily	
Have you or somebody else been injured as a result of your drinking?	No		Yes, but not in the last year	Yes, during the last year		
Has a relative or friend, doctor or other health worker been concerned about your drinking or suggested that you cut down?	No		Yes, but not in the last year	Yes, during the last year		

Scoring: 0 - 7 Lower risk, 8 - 15 Increasing risk, 16 - 19 Higher risk, 20+ Possible dependence

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Substance Abuse Patient Pre-Screening & Screening Questionnaires

Step I. **ASK** about alcohol and drug use

Drug Use

- How many times in the past year have you used an illegal drug or used a prescription medication for non-medical reasons

If negative, reinforce their healthy decisions.

If positive, Your patient has at least RISKY drug use.

Go to STEP 2B – *ASSESS* with *DAST-10*.

DAST – 10 Questionnaire

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1. Have you used drugs other than those required for medical reasons?	0	1
2. Do you abuse more than one drug at a time?	0	1
3. Are you always able to stop using drugs when you want to? (If never use drugs, answer “Yes.”)	1	0
4. Have you had "blackouts" or "flashbacks" as a result of drug use?	0	1
5. Do you ever feel bad or guilty about your drug use? If never use drugs, choose “No.”	0	1
6. Does your spouse (or parents) ever complain about your involvement with drugs?	0	1
7. Have you neglected your family because of your use of drugs?	0	1
8. Have you engaged in illegal activities in order to obtain drugs?	0	1
9. Have you ever experienced withdrawal symptoms (felt sick) when you stopped taking drugs?	0	1
10. Have you had medical problems as a result of your drug use (e.g., memory loss, hepatitis, convulsions, bleeding, etc.)?	0	1

Substance Abuse Patient Pre-Screening & Screening Questionnaires

CAGE-AID

Ask about alcohol & drug use

Assess for alcohol and/or drug severity

Substance Abuse Patient Pre-Screening & Screening Questionnaires

CAGE-AID

I. Ask about alcohol and drug use

Alcohol Use

- Do you sometimes drink beer, wine or other alcoholic beverages?

If YES,

- How many times in the past year have you had 5 or more drinks (4 for women and men over age 65)
- On average how many days a week do you have an alcohol drink?
- On a typical drinking day, how many drinks do you have?

Drug Use

- How many times in the past year have you used an illegal drug or used a prescription medication for non-medical reasons

One or more is considered positive

**If positive, go to STEP 2.
Your patient has at least
RISKY alcohol and/or drug use.**

**If negative, reinforce their
healthy decisions.**

Substance Abuse Patient Pre-Screening & Screening Questionnaires

CAGE-AID

II. Assess for alcohol and/or drug severity

- Have you ever felt that you ought to **cut down** on your drinking or drug use? (Y/N)
- Have people **annoyed** you by criticizing your drinking or drug use? (Y/N)
- Have you ever felt bad or **guilty** about your drinking or drug use? (Y/N)
- Have you ever had a drink or used drugs first thing in the morning (**eye opener**) to steady your nerves or get rid of a hangover? (Y/N)

Each Yes response equals 1

0-1 Yes Risky use

>1 Yes Further diagnostic evaluation and referral

Substance Abuse Patient Pre-Screening & Screening Questionnaires

CAGE-AID

I. Ask about alcohol and drug use

Alcohol Use

- Do you sometimes drink beer, wine or other alcoholic beverages?

Drug Use

- How many times in the past year have you used an illegal drug or used a prescription medication for non-medical reasons

**If positive, go to STEP 2.
Your patient has at least
RISKY alcohol and/or drug use.
If negative, reinforce their
healthy decisions.**

Substance Abuse Patient Pre-Screening & Screening Questionnaires

CAGE-AID

II. Assess for alcohol and/or drug severity

- Have you ever felt that you ought to **cut down** on your drinking or drug use? (Y/N)
- Have people **annoyed** you by criticizing your drinking or drug use? (Y/N)
- Have you ever felt bad or **guilty** about your drinking or drug use? (Y/N)
- Have you ever had a drink or used drugs first thing in the morning (**eye opener**) to steady your nerves or get rid of a hangover? (Y/N)

1 Yes Risky use
>1 Yes Further diagnostic evaluation and & referral

Previous Webinars to Support Implementation

- Physical health screening approaches
- Behavioral health screening tools
- Measurement and quality improvement
- Billing guidance



Webinar recordings available at:

<http://www.onecityhealth.org/webinar-series-co-location-primary-care-behavioral-health-services/>

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